

12-07-00

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ATTORNEY'S DOCKET NO.:  
066241.0109

PATENT APPLICATION

1

APPLICATION FOR U.S. PATENT UNDER 37 C.F.R. § 1.53(b)  
TRANSMITTAL FORM

Box Patent Application  
ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

09/731365  
12/06/00  
U.S. PTO

Sir:

Transmitted herewith for filing is the patent application of:

Inventor: Avinash C. Saxena

Entitled: **METHOD AND SYSTEM FOR ADAPTIVE PREFETCHING**

X Pursuant to 37 C.F.R. § 1.213(a), Applicant(s) respectfully requests that the above-identified patent application not be published under 37 U.S.C. § 122(b). Applicant(s) hereby certifies that the invention disclosed in the above-identified application has not been and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Enclosed are:

X Specification (33 Total Pages)  
X Drawing(s) (2 Total Sheet(s) of X Formal    Informal)  
X Combined Declaration and Power of Attorney (3 Total Pages)  
    X Newly executed (original or copy)  
       Copy from a prior application  
        (for continuation/divisional only)

X An Assignment of the invention to epicRealm Inc. is attached.  
A separate cover sheet in compliance with 37 C.F.R. § 3.28 and § 3.31 is included with an Assignment recordal fee of \$40.00 pursuant to 37 C.F.R. § 1.21(h).

X Certificate of Mailing

X Return Receipt Postcard

X Bibliographic Datasheet

Express Mail Receipt  
No. EL759174500US

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09731365-120600

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PATENT APPLICATION

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Applicant is:

☐ Large Entity  
☒ Small Entity


The accompanying application is:

☒ Original.  
☐ Continuation ☐ Divisional ☐ Continuation-In-Part (CIP)  
of prior application No. \_\_\_\_\_ which is hereby incorporated by reference  
therein.

FEE CALCULATION					FEE
	Number		Number Extra	Rate	Basic Fee
					\$355.00
Total Claims:	24	-20 =	4	X \$ 9 =	\$ 36.00
Independent Claims	3	- 3 =	0	X \$40 =	\$ 0.00
TOTAL FILING FEE =					\$391.00

X Enclosed is a check in the amount of \$391.00 to satisfy filing fee requirements under 37 C.F.R. § 1.16. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-0384 of BAKER BOTTS L.L.P. **A duplicate copy of this sheet is enclosed.**

Respectfully submitted,  
BAKER BOTTS L.L.P.  
Attorneys for Applicant

  
Matthew B. Talpis  
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Date: December 6, 2000

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PATENT APPLICATION

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Avinash C. Saxena  
Date Filed: December 6, 2000  
Title: METHOD AND SYSTEM FOR ADAPTIVE  
PREFETCHING

**BOX PATENT APPLICATION**  
Honorable Assistant Commissioner  
For Patents  
Washington, D.C. 20231

Dear Sir:

CERTIFICATE OF MAILING BY EXPRESS MAIL

I hereby certify that the attached Patent Application Transmittal (2 pages), Patent Application (33 pages), Declaration and Power of Attorney (3 pages), Formal Drawings (2 pages), a check in the amount of \$391.00, Assignment Cover Sheet (1 page), Assignment (1 page), a check in the amount of \$40.00, Bibliographic Datasheet (1 page) and this Certificate of Mailing are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on this 6<sup>th</sup> day of December, 2000 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Willie Jiles*  
\_\_\_\_\_  
Willie Jiles

Express Mail Receipt  
No. EL759174500US  
Attorney Docket No.:  
066241.0109